

# FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11 of the Companies (Management and Administration) Rules, 2014]



सत्यमेव जयते

## Annual Return (other than OPCs and Small Companies)

Form language ☒ English ☐ Hindi

Refer the instruction kit for filing the form.

### I. REGISTRATION AND OTHER DETAILS

(i) \* Corporate Identification Number (CIN) of the company

U67120GJ2003PLC043162

Pre-fill

Global Location Number (GLN) of the company

\* Permanent Account Number (PAN) of the company

AABCJ3918N

(ii) (a) Name of the company

JAINAM BROKING LIMITED

(b) Registered office address

P03-02C, P03-02D & P03-02E, 3rd Floor, WTC Tower (51A), Road 5E, Block 51, Zone 5, DTA, NA  
GIFT CITY  
Gandhinagar  
Gujarat  
385005

(c) \*e-mail ID of the company

corp@jainam.in

(d) \*Telephone number with STD code

02616725556

(e) Website

https://www.jainam.in/

(iii) Date of Incorporation

10/11/2003

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Public Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital

☒ Yes ☐ No

(vi) \*Whether shares listed on recognized Stock Exchange(s)

☐ Yes ☒ No

(b) CIN of the Registrar and Transfer Agent

U67190MH1999PTC118368

Pre-fill

Name of the Registrar and Transfer Agent

LINK INTIME INDIA PRIVATE LIMITED

Registered office address of the Registrar and Transfer Agents

C-101, 1st Floor, 247 Park,  
Lal Bahadur Shastri Marg, Vikhroli (West)

(vii) \*Financial year From date 01/04/2022 (DD/MM/YYYY) To date 31/03/2023 (DD/MM/YYYY)

(viii) \*Whether Annual general meeting (AGM) held ☒ Yes ☐ No

(a) If yes, date of AGM 30/09/2023

(b) Due date of AGM 30/09/2023

(c) Whether any extension for AGM granted ☐ Yes ☒ No

## II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

\*Number of business activities 2

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	K	Financial and insurance Service	K7	Financial Advisory, brokerage and Consultancy Services	37.42
2	K	Financial and insurance Service	K8	Other financial activities	62.58

## III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

\*No. of Companies for which information is to be given

1

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1	JAINAM IFSC MAVENS PRIVATE	U65990GJ2017PTC097096	Subsidiary	100

## IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

### (i) \*SHARE CAPITAL

(a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	30,000,000	26,998,877	26,998,877	26,998,877
Total amount of equity shares (in Rupees)	300,000,000	269,988,770	269,988,770	269,988,770

Number of classes

1

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
<b>Equity Shares</b>				
Number of equity shares	30,000,000	26,998,877	26,998,877	26,998,877
Nominal value per share (in rupees)	10	10	10	10
Total amount of equity shares (in rupees)	300,000,000	269,988,770	269,988,770	269,988,770

**(b) Preference share capital**

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	200,000	0	0	0
Total amount of preference shares (in rupees)	2,000,000	0	0	0

Number of classes

1

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
<b>Preference Shares</b>				
Number of preference shares	200,000	0	0	0
Nominal value per share (in rupees)	10	10	10	10
Total amount of preference shares (in rupees)	2,000,000	0		

**(c) Unclassified share capital**

Particulars	Authorised Capital
Total amount of unclassified shares	0

**(d) Break-up of paid-up share capital**

Class of shares	Number of shares			Total nominal amount	Total Paid-up amount	Total premium
<b>Equity shares</b>	Physical	DEMAT	Total			
<b>At the beginning of the year</b>	1,366,528	24,168,000	25534528	255,345,280	255,345,280	
<b>Increase during the year</b>	0	2,830,877	2830877	14,643,490	14,643,490	351,443,760
i. Public Issues	0	0	0	0	0	0
ii. Rights issue	0	0	0	0	0	0
iii. Bonus issue	0	0	0	0	0	0

iv. Private Placement/ Preferential allotment	0	1,464,349	1464349	14,643,490	14,643,490	351,443,760
v. ESOPs	0	0	0	0	0	0
vi. Sweat equity shares allotted	0	0	0	0	0	0
vii. Conversion of Preference share	0	0	0	0	0	0
viii. Conversion of Debentures	0	0	0	0	0	0
ix. GDRs/ADRs	0	0	0	0	0	
x. Others, specify		1,366,528	1366528			
Conversion of physical shares into DEMAT						
<b>Decrease during the year</b>	1,366,528	0	1366528	0	0	0
i. Buy-back of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify	1,366,528		1366528			
Conversion of physical shares into DEMAT						
<b>At the end of the year</b>	0	26,998,877	26998877	269,988,770	269,988,770	
<b>Preference shares</b>						
<b>At the beginning of the year</b>	0	0	0	0	0	
<b>Increase during the year</b>	0	0	0	0	0	0
i. Issues of shares	0	0	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0	0	0
iii. Others, specify				0	0	
NA						
<b>Decrease during the year</b>	0	0	0	0	0	0
i. Redemption of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify				0	0	
NA						
<b>At the end of the year</b>	0	0	0	0	0	

ISIN of the equity shares of the company

INE0JY501019

(ii) Details of stock split/consolidation during the year (for each class of shares)

0

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) \*

☐ Nil

[Details being provided in a CD/Digital Media]

☐ Yes ☒ No ☐ Not Applicable

Separate sheet attached for details of transfers

☒ Yes ☐ No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting	30/09/2022		
Date of registration of transfer (Date Month Year)			
Type of transfer		1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred		Amount per Share/ Debenture/Unit (in Rs.)	
Ledger Folio of Transferor			
Transferor's Name			
	Surname	middle name	first name

Ledger Folio of Transferee		<input type="text"/>	
Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)	<input type="text"/>
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Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares, 3 - Debentures, 4 - Stock
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Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
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Ledger Folio of Transferor		<input type="text"/>	
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Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Ledger Folio of Transferee		<input type="text"/>	
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Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

**(iv) \*Debentures (Outstanding as at the end of financial year)**

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0	0	0
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
<b>Total</b>			0

**Details of debentures**

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	0	0	0	0
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

**(v) Securities (other than shares and debentures)**

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total					

**V. \*Turnover and net worth of the company (as defined in the Companies Act, 2013)**

**(i) Turnover**

5,526,666,020

**(ii) Net worth of the Company**

5,723,508,604

**VI. (a) \*SHARE HOLDING PATTERN - Promoters**

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	18,312,000	67.83	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	

6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	0	0	0	
10.	Others	0	0	0	
	<b>Total</b>	18,312,000	67.83	0	0

**Total number of shareholders (promoters)**

4

**(b) \*SHARE HOLDING PATTERN - Public/Other than promoters**

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	7,674,900	28.43	0	
	(ii) Non-resident Indian (NRI)	891,977	3.3	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	120,000	0.44	0	
10.	Others	0	0	0	



	<b>Total</b>	8,686,877	32.17	0	0
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**Total number of shareholders (other than promoters)**

94

**Total number of shareholders (Promoters+Public/  
Other than promoters)**

98

**VII. \*NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS  
(Details, Promoters, Members (other than promoters), Debenture holders)**

Details	At the beginning of the year	At the end of the year
Promoters	4	4
Members (other than promoters)	45	94
Debenture holders	0	0

**VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**

**(A) \*Composition of Board of Directors**

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
<b>A. Promoter</b>	3	0	3	0	10.85	0
<b>B. Non-Promoter</b>	0	0	0	0	0	0
(i) Non-Independent	0	0	0	0	0	0
(ii) Independent	0	0	0	0	0	0
<b>C. Nominee Directors representing</b>	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
<b>Total</b>	<b>3</b>	<b>0</b>	<b>3</b>	<b>0</b>	<b>10.85</b>	<b>0</b>

**Number of Directors and Key managerial personnel (who is not director) as on the financial year end date**

5

**(B) (i) \*Details of directors and Key managerial personnel as on the closure of financial year**

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
MILANBHAI SURESHB	00085061	Managing Director	2,585,100	
ANAL MILAN PARIKH	01404198	Director	243,600	
VIDHI DISHANT PARIKH	07788145	Whole-time director	100,800	
MITTAL NARENDRABH	EJHPS8587L	Company Secretar	0	
DISHANT MILANBHAI	BGTPP9570N	CFO	1,580,880	

**(ii) Particulars of change in director(s) and Key managerial personnel during the year**

3

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
MITTAL NARENDRABH	EJHPS8587L	Company Secretar	15/03/2023	Appointment
MEGHAVI RAJESH GO	CACPG2098A	Company Secretar	15/03/2023	Cessation
DISHANT MILANBHAI	BGTPP9570N	CFO	04/06/2022	Appointment

**IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS****A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS**

Number of meetings held

5

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
Annual General Meeting	30/09/2022	88	8	20.33
Extra Ordinary General Mee	04/05/2022	49	10	89.35
Extra Ordinary General Mee	11/06/2022	80	7	72.87
Extra Ordinary General Mee	17/08/2022	84	8	77.19
Extra Ordinary General Mee	15/03/2023	98	8	76.02

**B. BOARD MEETINGS**

\*Number of meetings held

52

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	06/04/2022	3	3	100
2	12/04/2022	3	3	100
3	13/04/2022	3	3	100
4	21/04/2022	3	3	100
5	25/04/2022	3	3	100
6	27/04/2022	3	3	100
7	29/04/2022	3	3	100
8	12/05/2022	3	3	100
9	13/05/2022	3	3	100
10	21/05/2022	3	3	100
11	23/05/2022	3	3	100
12	28/05/2022	3	3	100

### C. COMMITTEE MEETINGS

Number of meetings held

3

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	Corporate Soc	21/04/2022	3	3	100
2	Corporate Soc	08/10/2022	3	3	100
3	Corporate Soc	31/03/2023	3	3	100

### D. \* ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	30/09/2023
								(Y/N/NA)

1	MILANBHAI S	52	52	100	3	3	100	Yes
2	ANAL MILAN	52	52	100	3	3	100	Yes
3	VIDHI DISHAN	52	52	100	3	3	100	Yes

#### X. \*REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

☐ Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

2

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	MILANBHAI SURESH	Managing director	7,200,000	0	0	0	7,200,000
2	VIDHI DISHANT PARIKH	Whole time director	3,600,000	0	0	0	3,600,000
	Total		10,800,000	0	0	0	10,800,000

Number of CEO, CFO and Company secretary whose remuneration details to be entered

3

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	MITTAL NARENDRA	COMPANY SECRETARY	52,620	0	0	0	52,620
2	DISHANT MILANBHAI	CFO	6,000,000	0	0	0	6,000,000
3	MEGHA VI RAJESH	COMPANY SECRETARY	328,444	0	0	0	328,444
	Total		6,381,064	0	0	0	6,381,064

Number of other directors whose remuneration details to be entered

1

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	ANAL MILAN PARIKH	DIRECTOR	3,000,000	0	0	0	3,000,000
	Total		3,000,000	0	0	0	3,000,000

#### XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

\* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year ☐ Yes ☒ No

B. If No, give reasons/observations

As per the attachment

#### XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS ☒ Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES ☒ Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

**XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment**

☒ Yes ☐ No

**XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES**

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

PRERNA SHARMA

Whether associate or fellow

☒ Associate ☐ Fellow

Certificate of practice number

16537

**I/We certify that:**

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.  
(b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

**Declaration**

I am Authorised by the Board of Directors of the company vide resolution no. ...

04

dated

27/09/2023

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
2. All the required attachments have been completely and legibly attached to this form.

**Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.**

**To be digitally signed by**

Director

VIDHI  
DISHANT  
PARIKH  
Digitally signed by  
VIDHI DISHANT  
PARIKH  
Date: 2023.12.27  
15:33:33 +05'30'

DIN of the director

07788145

**To be digitally signed by**

SHAH  
MITTAL N  
Digitally signed by  
SHAH MITTAL N  
Date: 2023.12.27  
15:34:13 +05'30'

- ☒ Company Secretary  
☐ Company secretary in practice

Membership number 57367

Certificate of practice number

**Attachments**

- 1. List of share holders, debenture holders
- 2. Approval letter for extension of AGM;
- 3. Copy of MGT-8;
- 4. Optional Attachement(s), if any

Attach

Attach

Attach

Attach

**List of attachments**

List of Shareholders.pdf  
List of Board Meetings.pdf  
Jainam Fincap Declaration.pdf  
Observation sheet\_MGT-7.pdf  
List of Share Transfer.pdf  
MGT-8.pdf

Remove attachment

Modify

Check Form

Prescrutiny

Submit

**This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company**

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 JAINAM BROKING LIMITED  
 ISIN No. : INE0JY501019

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MEMBER'S INDEX OF CDSL BENIFICIARY OWNERS AS ON : 31/03/2023

 -----  
 SRL DP ID / CLIENT ID    SHARES HELD    BENIFICIARY OWNER NAME  
 -----

1 12037600 02127534	30000	A J MEHTA & CO
2 12041500 00425466	120000	ADARSH KANUBHAI SHAH
3 12041500 00336711	30000	ALKABEN PIYUSHKUMAR JANI
4 12041500 00317684	30000	ALPESH JENTIBHAI BHALALA
5 12051500 00206972	30000	AMIT CHANDULAL SHAH
6 12081600 30582843	30000	AMIT KALUBHAI SHEKHALIYA
7 12041500 01889684	243600	ANAL MILAN PARIKH
8 12041500 01957338	30000	APC TEXTILES LLP
9 12028900 00071802	30000	ARVINDBHAI KESHAVALAL SHAH (HUF)
10 12041500 00987230	30000	ASHOKBHAI LAVJIBHAI SOJITRA
11 12041500 01452111	30000	ASHOKBHAI RAJKARANBHAI DOSHI ARVINDBHAI RAJKARANBHAI DOSHI
12 12041500 00779331	30000	ATAL NAVINCHANDRA SIRIYA
13 12041500 01972177	30000	AVNEEP BANSAL
14 12041500 00034228	189000	BHARAT SURESHCHANDRA PARIKH (HUF) .
15 12041500 00349921	1785210	BHARATBHAI SURESHBHAI PARIKH
16 12067400 00401608	30000	BHAVESH PRAVINCHANDRA SHAH
17 12041500 01902252	2100	BHAVYA NIPUN SHAH
18 12041500 00992268	9200	BHUMI MAULIK DOSHI
19 12041500 01245955	120000	CHELNA ADARSHKUMAR SHAH
20 12041500 00063118	2100	CHIRAGBHAI JITENDRABHAI SHAH(HUF) .

FOR JAINAM BROKING LIMITED

  
 DIRECTOR



21 12028900 00001696 LIMITED	30000 CKREDENCE WEALTH MANAGEMENT PRIVATE
22 12041500 00497111	30000 DARP JANI
23 12032300 05438203	30000 DHARMESH JAYANTILAL GUDHKA NIKET VIJAY CHHEDA
24 12041500 01983567	30000 DHIRAJKUMAR SAVJIBHAI VASOYA
25 12041500 01215297	2000 DHRUMIL A SANGHVI (HUF)
26 12041500 00046951	2000 DILIP CHHOTALAL MORAKHIA .
27 12041500 00023159	1000 DIPESH UPENDRA PARIKH . BINA DIPESH PARIKH .
28 12041500 01890212	15382500 DISHANT MILANBHAI PARIKH JAINAM MILANBHAI PARIKH

2▲

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JAINAM BROKING LIMITED  
ISIN No. : INE0JY501019

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MEMBER'S INDEX OF CDSL BENIFICIARY OWNERS AS ON : 31/03/2023

-----  
SRL DP ID / CLIENT ID    SHARES HELD    BENIFICIARY OWNER NAME  
-----

29 12041500 00349902	1580880 DISHANT MILANBHAI PARIKH
30 12041500 00789111	30000 GAURAV SINGHVI
31 12041500 01993096	30000 HARSHABEN MITESHKUMAR THAKKAR
32 12041500 00109561	30000 HEENA RAJESHKUMAR SHETH .
33 12041500 01056944	310800 JAINAM MILANBHAI PARIKH
34 12041500 02007053	13400 JAINAM MILANBHAI PARIKH PINAKINI PARIKH
35 12067200 00068178	30000 JASMIN PRAVINBHAI KOTADIYA
36 12071700 00227136	60000 JINESH DINESH JOGANI
37 12041500 00692358	30000 JIYA P UKANI
38 12041500 00409866	10000 KEYUR SURESHBHAI SHETH

FOR JAINAM BROKING LIMITED

*Vidhi Parikh*  
DIRECTOR



39 12041500 01902248	2100	MANISH RASIK SHAH
40 12041500 00783798	30000	MANUKANT CHANDULAL ZOTA
41 12041500 00034213	321300	MILAN SURESHCHANDRA PARIKH (HUF) .
42 12041500 01889699	2585100	MILANBHAI SURESHBHAI PARIKH
43 12030000 01252146	4000	MONALI ANKUR SHAH
44 12041500 01680052	8000	NAISHAL RAJESHKUMAR SHETH
45 12041500 01195972	10000	NEHA RAKESHBHAI DOSHI
46 12041500 01972426	28000	NITABEN JAYESHKUMAR SHAH
47 12041500 00349917	882210	PINAKINI BHARAT PARIKH
48 12041500 00726992	30000	PIYUSH JAYANTILAL JANI
49 12041500 01958481	30000	PRAFULKUMAR CHHOTALAL SANGHAVI
50 12041500 01015958	30000	PRAKASHKUMAR RASIKLAL MEHTA (HUF)
51 12041500 00777387	30000	PRASHANT GHANSHYAMBHAI UKANI
52 12041500 00063025	2100	PURNABEN CHIRAGBHAI SHAH .
53 12041500 00874693	30000	PURVI RICKY SHAH
54 12041500 00094573	800	RACHIT RAJANBHAI SARAIYA .
55 12041500 01482062	30000	RAJUBHAI PREMJBHAI VANANI
56 12041500 00393481	800	RINKLE R ANAJWALA
57 12081600 68412915	30000	RIPAL VISHAL PATEL

3▲

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JAINAM BROKING LIMITED  
ISIN No. : INE0JY501019

Page :

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MEMBER'S INDEX OF CDSL BENIFICIARY OWNERS AS ON : 31/03/2023

-----  
SRL DP ID / CLIENT ID    SHARES HELD    BENIFICIARY OWNER NAME  
-----

58 12041500 00687067                      30000    RITESHKUMAR R SHAH

FOR JAINAM BROKING LIMITED

*Vidhi Parikh*

DIRECTOR

59 12041500 01017406	4800 RUTESHKUMAR RAMESHCHANDRA SHAH
60 12041500 02013504	8000 RUTUL RAJESHKUMAR SHETH
61 12033300 00977743	30000 SAHIL A ZOTA
62 12041500 00425451	120000 SAMIRKUMAR KANUBHAI SHAH
63 12041500 01294190	30000 SAMKIT B MEHTA (HUF)
64 12041500 00567949	2000 SAMKIT JAYESH SHAH
65 12028900 00793170	30000 SANDEEP VASANTLAL SHAH CHHAYA SANDEEP SHAH
66 12041500 00861779	4000 SANYAM LALITBHAI VORA (HUF)
67 12041500 00444261	2100 SAPNABEN BHAVINBHAI SHAH BHARTIBEN JITENDRABHAI SHAH
68 12041500 01245746	120000 SEJALBEN SAMIRBHAI SHAH
69 12041500 01926651	90000 SHAH ADARSH KANUBHAI (HUF)
70 12041500 01926664	30000 SHAH JIGAR KANUBHAI (HUF)
71 12041500 01926548	90000 SHAH SAMIRBHAI KANUBHAI (HUF)
72 12011300 00762995	30000 SHAILESH BABULAL SHAH SHAISHAV SHAILESHBHAI SHAH
73 12041500 00000781	30000 SHARDABEN RAMESHBHAI DOSHI
74 12041500 00040415	30000 SHILABEN RAJNIBHAI SHAH .
75 12041500 01993461	30000 SHITALBEN SHAILESHKUMAR THAKKAR
76 12041500 01230811	2000 SHUSHIR RAMESHCHANDRA SHAH
77 12041500 01947393	30000 SUNIL TARACHAND BANTHIA TARACHAND RAJMOHAN BANTHIA
78 12041500 00874729	30000 SUNNY JITENDRABHAI SHAH
79 12041500 00483018	30000 SURESH BALKRUSHNA BHATT
80 12041500 00034232	315000 SURESHCHANDRA CHIMANLAL PARIKH (HUF) .
81 12041500 00415504	2000 TEJAS DINESHBHAI JARIWALA
82 12041500 00683837	2000 TEJAS R SHAH (HUF)
83 12041500 00488356	30000 TURTLE WEALTH MANAGEMENT PRIVATE LIMITED

FOR JAINAM BROKING LIMITED

Vidhi Parikh  
DIRECTOR

84 12041500 01889247

100800 VIDHI DISHANT PARIKH

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Contd #

JAINAM BROKING LIMITED  
ISIN No. : INE0JY501019

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Page :

MEMBER'S INDEX OF CDSL BENIFICIARY OWNERS AS ON : 31/03/2023

SRL DP ID / CLIENT ID SHARES HELD BENIFICIARY OWNER NAME

85 12041500 01459229	60000	VIKESH RAMESHKUMAR SHAH JITENDRAKUMAR DALPATLAL SHAH DILIPKUMAR BABULAL MEHTA
86 12041500 00582741	2000	VIRAL NARENDRABHAI DESAI (HUF)
87 12041500 00172894	40000	VIRAL NIRAV JOGANI . NIRAV DINESHBHAI JOGANI .
88 12041500 01948627	30000	VISHWAJEETSINH RANJEETSINHJI JADEJA SUSHIL S SHARMA
89 12041500 01803386	14000	YOGESH MAYACHAND SHAH

Total Shares --&gt; 25946900

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JAINAM BROKING LIMITED  
ISIN No. : INE0JY501019

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Page :

MEMBER'S INDEX OF NSDL BENIFICIARY OWNERS AS ON : 31/03/2023

SRL DP ID / CLIENT ID SHARES HELD BENIFICIARY OWNER NAME(S)

1 IN304295 10306186	30000	BHUMISH MOHANLAL SHAH HEENA BHUMISH SHAH
2 IN301549 60289571	801977	DEVANG LALITKUMAR SHAH
3 IN300749 11163491	30000	KAMLESH SURYAKANT SIRIYA

FOR JAINAM BROKING LIMITED

Vidhi Parikh  
DIRECTOR

4 IN300214 30883679	30000	KAUSHIK MOHANLAL SHAH
5 IN300020 11987119	30000	MOKSH ANKUR SHAH ANKUR JITENDRA SHAH
6 IN303028 26636934	30000	PURVI DHWANIL SHAH
7 IN303028 53607085	40000	SANGINI CHETAN MEHTA
8 IN304295 51085851	30000	SHALINI JAIN
9 IN303028 90186091	30000	SONAM SETHIA

Total Shares --> =====  
1051977  
=====

FOR JAINAM BROKING LIMITED

*Vidhi Parikh*  
DIRECTOR



**JAINAM BROKING LIMITED**

(FORMERLY KNOWN AS JAINAM SHARE CONSULTANTS PRIVATE LIMITED)

CIN: U67120GJ2003PLC043162

Regd Address: P03-02C, P03-02D &amp; P03-02E, 3rd Floor, WTC Tower (51A), Road 5E, Block 51, Zone 5, DTA, NA Gift City, Gandhinagar - 385355

Tel: 0261-6725555, 2305555 | Email : corp@jainam.in | Website: www.jainam.in

**LIST OF SHARE TRANSFER AS ON 31-03.2023**

Details of shares / debentures transfers since the close of last financial year  
[or in the case of the first return at any time since the incorporation of the Company.]

Date of closure of Previous financial year

31-03-2022

Date of closure of Current financial year

31-03-2023

Sr. No.	Date of Registration of Transfer of Shares	DPID of Transferor	Transferor's Name	DPID of Transferee	Transferee's Name	Type of Security	Number of Shares/ debenture	Nominal Value (each in Rs.)
1	05-07-2022	`1204150001803380	YOGESH MAYACHAND SHAH	`1204150001680052	NAISHAL RAJESHKUMAR SHETH	Equity	8000	Gift
2	05-07-2022	`1204150001803380	YOGESH MAYACHAND SHAH	`1204150002013504	RUTUL RAJESHKUMAR SHETH	Equity	8000	Gift
3	14-09-2022	`1204150000409866	Keyur Suresh Sheth	`1204150000861779	SANYAM LALITBHAI VORA(HUF)	Equity	4000	10/-
4	14-09-2022	`1204150000409866	Keyur Suresh Sheth	`1204150001215297	DHRUMIL A SANGHAVI(HUF)	Equity	2000	10/-
5	14-09-2022	`1204150000409866	Keyur Suresh Sheth	`1204150001017400	RUTESHKUMAR RAMESHCHANDRA SHAH	Equity	4800	10/-
6	14-09-2022	`1204150000409866	Keyur Suresh Sheth	`1204150000992268	BHUMI MAULIK DOSHI	Equity	9200	10/-
7	28-12-2022	`1204150002007053	JNM Corporation	`1204150000094573	RACHIT RAJANBHAI SARAIYA	Equity	800	10/-
8	28-12-2022	`1204150002007053	JNM Corporation	`1204150000582741	VIRAL NARENDRABHAI DESAI(HUF)	Equity	2000	10/-
9	28-12-2022	`1204150002007053	JNM Corporation	`1204150000023159	DIPESH UPENDRA PARIKH	Equity	1000	10/-
10	28-12-2022	`1204150002007053	JNM Corporation	`1204150000415504	TEJAS DINESHBHAI JARIWALA	Equity	2000	10/-
11	28-12-2022	`1204150002007053	JNM Corporation	`1204150001230811	SHUSHIR RAMESHCHANDRA SHAH	Equity	2000	10/-
12	28-12-2022	`1204150002007053	JNM Corporation	`1204150000393481	RINKLE RAJESH ANAJWALA	Equity	800	10/-
13	28-12-2022	`1204150002007053	JNM Corporation	`1204150000046951	DILIP CHHOTALAL MORAKHIA	Equity	2000	10/-
14	28-12-2022	`1204150002007053	JNM Corporation	`1204150000567949	SAMKIT JAYESH SHAH	Equity	2000	10/-
15	30-12-2022	`1204150001972426	Nitaben Jayeshkumar Shah	`1204150000683837	Tejas R Shah (HUF)	Equity	2000	10/-
16	24-01-2023	`1204150002007053	JNM Corporation	`1203000001252146	MONALI ANKUR SHAH	Equity	4000	10/-

FOR JAINAM BROKING LIMITED

(FORMERLY KNOWN AS JAINAM SHARE CONSULTANTS PRIVATE LIMITED)

M. S. Parikh

Milanbhai Sureshbhai Parikh  
Managing Director  
DIN: 00085061



Vidhi Parikh

Vidhi Dishant Parikh  
Whole Time Director  
DIN: 07788145

**Details of Board Meetings for FY 2022-23**

Sr. No	Number of BM	Date of Board Meeting	Total Number of directors associated as on the date of meeting	Number of directors attended	% of Attendance
1	1	06-04-2022	3	3	100
2	2	12-04-2022	3	3	100
3	3	13-04-2022	3	3	100
4	4	21-04-2022	3	3	100
5	5	25-04-2022	3	3	100
6	6	27-04-2022	3	3	100
7	7	29-04-2022	3	3	100
8	8	12-05-2022	3	3	100
9	9	13-05-2022	3	3	100
10	10	21-05-2022	3	3	100
11	11	23-05-2022	3	3	100
12	12	28-05-2022	3	3	100
13	13	04-06-2022	3	3	100
14	14	07-06-2022	3	3	100
15	15	16-06-2022	3	3	100
16	16	17-06-2022	3	3	100
17	17	25-06-2022	3	3	100
18	18	02-07-2022	3	3	100
19	19	09-07-2022	3	3	100
20	20	16-07-2022	3	3	100
21	21	20-07-2022	3	3	100
22	22	26-07-2022	3	3	100
23	23	06-08-2022	3	3	100
24	24	17-08-2022	3	3	100
25	25	20-08-2022	3	3	100
26	26	03-09-2022	3	3	100
27	27	05-09-2022	3	3	100
28	28	10-09-2022	3	3	100
29	29	15-09-2022	3	3	100
30	30	17-09-2022	3	3	100
31	31	27-09-2022	3	3	100
32	32	08-10-2022	3	3	100
33	33	15-10-2022	3	3	100
34	34	05-11-2022	3	3	100
35	35	12-11-2022	3	3	100
36	36	03-12-2022	3	3	100
37	37	08-12-2022	3	3	100
38	38	21-12-2022	3	3	100
39	39	23-12-2022	3	3	100
40	40	27-12-2022	3	3	100
41	41	04-01-2023	3	3	100



42	42	18-01-2023	3	3	100
43	43	01-02-2023	3	3	100
44	44	04-02-2023	3	3	100
45	45	18-02-2023	3	3	100
46	46	20-02-2023	3	3	100
47	47	22-02-2023	3	3	100
48	48	04-03-2023	3	3	100
49	49	15-03-2023	3	3	100
50	50	20-03-2023	3	3	100
51	51	29-03-2023	3	3	100
52	52	31-03-2023	3	3	100



Vidhi Parikh







**PRERNA SHARMA**  
*Practicing Company Secretary*

**FORM NO. MGT-8**

*[Pursuant to section 92(2) of the Companies Act, 2013 and rule 11(2) of Companies (Management and Administration) Rules, 2014]*

**CERTIFICATE BY A COMPANY SECRETARY IN PRACTICE**

I have examined the registers, records and books and papers of M/s **Jainam Broking Limited (Formerly known as Jainam Share Consultants Private Limited)** (hereinafter referred to as 'the Company') as required to be maintained under the Companies Act, 2013 (the Act) and the rules made thereunder for the financial year ended on **31<sup>st</sup> March, 2023**. In my opinion and to the best of my information and according to the examinations carried out by me and explanations furnished to me by the company, its officers and agents, I certify that:

- A. The Annual Return states the facts as at the close of the aforesaid financial year correctly and adequately, subject to the following representations:
1. I have not verified the correctness and appropriateness of financial records and the Books of Accounts of the company. For the financial records, I have relied on the Audited financial statements as approved by the Board, signed by the Statutory Auditors of the Company, and adopted by the members.
  2. Wherever required, I have obtained the Management representation about the Compliance of Laws, Rules, and Regulations under the Companies Act and the happening of various events, etc., at the Company and relied on the same.
  3. Maintenance of secretarial records is the responsibility of the Management of the Company. My responsibility is to express an opinion on these secretarial records based on my audit.
  4. I have followed the audit practices and process as were appropriate to obtain reasonable assurance about the correctness of the contents of the secretarial records. The verification was done on test basis to ensure that correct facts are reflected in the Form MGT-8.



Address : Flat No. 603, Shashwat Complex, Opp. Honey Park Society, Honey Park Road, Adajan, Surat - 395 005  
Contact : (M) +91- 9726013226 E - Mail : [esprernasharma09@gmail.com](mailto:esprernasharma09@gmail.com)



**PRERNA SHARMA**  
*Practicing Company Secretary*

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believe that the processes and practices, I followed provide a reasonable basis for our opinion.

5. The compliance of the provisions of the Companies Act 2013, rules, regulations, standards is the responsibility of the Management. My examination was limited to the verification of records on test basis.
  6. Concerning serial number XII of the form about details of penalty and punishment imposed on company/directors/officers and details of compounding of offenses we relied on the records of the company and representations of the company and officer.
- B. During the aforesaid financial year the Company has complied with provisions of the Act & Rules made there under:
1. its status under the Act;
  2. maintenance of registers/ records & making entries therein within the time prescribed therefore;
  3. filing of forms and returns as stated in the annual return, with the Registrar of Companies, Regional Director, Central Government, the Tribunal, Court or other authorities, if any, within/else beyond prescribed time, provided that filings were within the extension time as allowed by regulatory authority (ies) or with the payment of additional fees, as applicable;
  4. calling/ convening/ holding meetings of Board of Directors or its committees, if any, and the meetings of the members of the company on due dates as stated in the annual return in respect of which meetings, proper notices were given and the proceedings including the circular resolutions and ~~resolutions passed by postal ballot~~, if any, have been properly recorded in the Minute Book/ registers maintained for the purpose and the same have been signed;

During the financial year under review, in accordance with the provisions stipulated in Section 177 and Section 178 of the Companies Act, 2013, the Company did not establish an Audit Committee and a Nomination and Remuneration Committee, thereby resulting in the absence of committee meetings throughout the specified period.



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**Address** : Flat No. 603, Shashwat Complex, Opp. Honey Park Society, Honey Park Road, Adajan, Surat  
**Contact** : (M) +91- 9726013226 **E - Mail** : [csprernasharma09@gmail.com](mailto:csprernasharma09@gmail.com)



**PRERNA SHARMA**  
*Practicing Company Secretary*

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5. Closure of Register of Members / Security holders, as the case may be.
6. Advances/ loans to its directors and/or persons or firms or companies referred in section 185 of the Act; - Not Applicable as no such event occurred during the year under review
7. contracts/ arrangements with related parties as specified in section 188 of the Act.
8. issue or allotment or transfer or transmission or buy back of securities/ redemption of preference shares or debentures/ alteration or reduction of share capital/ conversion of shares/ securities and issue of security certificates in all instances – During the period under review:

(a) The Company has made preferential allotment of 2,04,349 Equity Shares of Rs. 10 each at premium of Rs. 240/- per Share on 06<sup>th</sup> April, 2022.

(b) The Company has made preferential allotment of 2,40,000 Equity Shares of Rs. 10 each at premium of Rs. 240/- per Share on 12<sup>th</sup> April, 2022.

(c) The Company has made private placement of 9,10,000 Equity Shares of Rs. 10 each at premium of Rs. 240/- per Share on 12<sup>th</sup> May, 2022.

(d) The Company has made private placement of 1,10,000 Equity Shares of Rs. 10 each at premium of Rs. 240/- per share on 16<sup>th</sup> June, 2022.

During the year under review, there was a transfer of shares that occurred. This transfer took place through off-market or secondary market transactions, involving the movement of shares from one demat account to another.

Furthermore, as of March 31, 2023, the company could potentially have a Significant Beneficial Owner (SBO) in accordance with the provisions of Section 90 of the Companies Act, 2013, and the Significant Beneficial Ownership (SBO) Rules, 2019. However, it is important to note that the company has not submitted any BEN-2 form to the Registrar of Companies (ROC) for the disclosure of the Significant Beneficial Owner.

9. keeping in abeyance the rights to dividend, rights shares and bonus shares pending registration of transfer of shares in compliance with the provisions of the Act - Not Applicable as no such event took place during the year.



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Address : Flat No. 603, Shashwat Complex, Opp. Honey Park Society, Honey Park Road, Adajan, Surat – 395 009  
Contact : (M) +91- 9726013226 E - Mail : [csprernasharma09@gmail.com](mailto:csprernasharma09@gmail.com)





**PRERNA SHARMA**  
*Practicing Company Secretary*

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10. declaration/ payment of dividend; transfer of unpaid/ unclaimed dividend/other amounts as applicable to the Investor Education and Protection Fund in accordance with section 125 of the Act; - During the year under review, the company had declared the dividend and paid to the members as on the date of AGM. Further, few dividend has been returned back to the dividend account and the company fails to transfer the said unpaid dividend to a special accounts to be opened in the name of "Unpaid dividend account" in a time frame of 7 days as prescribed under the Companies Act, 2013.
11. signing of standalone and consolidated audited financial statements as per the provisions of section 134 of the Act and report of directors is as per sub - sections (3), (4) and (5) thereof;
12. constitution/ appointment/ re-appointments/ retirement/ filling up casual vacancies/ disclosures of the Directors, Key Managerial Personnel and the remuneration paid to them;  
During the period under review:
- (a) Ms. Mittal Narendrabhai Shah was appointed as a Company Secretary of the Company w.e.f. 15<sup>th</sup> March, 2023.
- (b) Mr. Dishant Milanbhai Parikh was appointed as a CFO of the company w.e.f. 04<sup>th</sup> June, 2022.
- (c) Ms. Meghavi Rajesh Gonawala tendered her resignation from the post of Company Secretary w.e.f. 15<sup>th</sup> March 2023.
- Further, as required under Section 149 of the Companies Act, 2013, the Company has not appointed prescribed number of Independent Directors in the financial year 2022-23.
13. appointment/ reappointment/ filling up casual vacancies of auditors as per the provisions of section 139 of the Act; The Statutory Auditor of the Company was appointed in the nineteenth Annual General Meeting of the Company for a period of 05 (Five Consecutive Years) which was in accordance with the applicable provisions of the Act.
14. approvals required to be taken from the Central Government, Tribunal, Regional Director, Registrar, Court or such other authorities under the various provisions of the Act; The company was not required to take any approval from the Central Government, Tribunal, Regional Director, Registrar, court or such other authorities under the various provisions of the Act during the financial year.

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Address : Flat No. 603, Shashwat Complex, Opp. Honey Park Society, Honey Park Road, Adajan , Surat – 395 009  
Contact : (M) +91- 9726013226 E - Mail : [csprernasharma09@gmail.com](mailto:csprernasharma09@gmail.com)





**PRERNA SHARMA**  
*Practicing Company Secretary*

15. Acceptance/ renewal/ repayment of deposits; The Company has not accepted/renewed/repaid any deposits during financial year.
16. borrowings from its directors, members, public financial institutions, banks and others and creation/ modification/ satisfaction of charges in that respect, wherever applicable; the company has procured secured credit facilities from Banks, and requisite charges have been duly established and registered with the Registrar in relation thereto. Moreover, during the year under review the Company has not borrowed any amount from any of the Directors or Members or their relatives of the Company
17. loans and investments or guarantees given or providing of securities to other bodies corporate or persons falling under the provisions of section 186 of the Act; No transactions pertaining to loans, investments, guarantees, or security were conducted with other bodies corporate or persons falling under the provisions of section 186 of the Act during the year under review. It is pertinent to mention that the company had previously made investments in its subsidiary in the preceding fiscal year(s). Furthermore, it should be noted that the banks provided a guarantee on behalf of the company to the stock exchange as margin money against fixed deposits..
18. Alteration of the provisions of the Memorandum and/ or Articles of Association of the Company; not applicable as no such event took place during the year.

  
Prerna Sharma  
Company Secretary

Mem No.: 43844

C.P. No.: 16537

UDIN: A043844E001106653

Peer Review Reg. No.: I2016GJ1454000



Date: 27/09/2023

Place: Surat

*Note: We have verified the form for limited angle for filling the annual return in Form MGT-7 by the company. Our certification of the form is limited to that extent only. Doing all the compliances under the act is responsibility of the management. There are chances that all supporting forms and documents those are required to be filed with the ROC office may not be filed by the company.*

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### ANNUAL RETURN- MGT-7 OBSERVATIONS FOR FY 2022-23

SR. NO.	OBSERVATIONS	COMMENTS BY THE BOARD OF DIRECTORS
1.	<p>The dividend once declared, shall be deposited to a separate bank account within 5 days from the date of the declaration and shall be distributed within 30 days from the date of declaration and if any dividend remained unpaid the same requires to be transferred to a special account to be called as Unpaid Dividend Account within 7 days of expiry of thirty days of declaration of dividend.</p> <p>The Company has made non - compliance for depositing the amount of unpaid dividend in 7 Days of expiry of thirty days of declaration of dividend in the financial year 2022-23</p>	<p>Due to delays in the banking process, the Company encountered challenges in depositing the unpaid dividend amount within seven days of expiry of thirty days from the date of declaration to the unpaid dividend account and the same issue has then been promptly addressed. As the Bank instructed to open a new bank account as unpaid dividend account and it was not possible to complete the procedure in 7 days.</p>
2.	<p>As required under Section 149 of the Companies Act, 2013, the Company has not appointed prescribed number of Independent Directors in the financial year 2022-23</p>	<p>As the position of an independent director requires proper knowledge and expertise relating to the business activities of the company. The management has not been able to find suitable candidates who can add value to the company or who have adequate knowledge and expertise. The management was constantly interviewing candidates for the said position during the financial year 2022-23</p>
3.	<p>As required under Section 177 of the Companies Act, 2013, the Company has not constituted Audit Committee</p>	<p>The company has not constituted an audit committee as the independent directors have not been appointed during the financial year 2022-23.</p>
4.	<p>As required under Section 178 of the Companies Act, 2013, the Company has not constituted Nomination &amp; Remuneration Committee and Risk Management Committee</p>	<p>the company has not constituted Nomination and Remuneration Committee as the independent directors have not been appointed during the financial year 2022-23</p>
5.	<p>As of March 31, 2023, the company could potentially have a Significant Beneficial Owner (SBO) in accordance with the provisions of Section 90 of the Companies Act, 2013, and the Significant Beneficial Ownership (SBO) Rules, 2019. However, it is important to note that the company has not submitted any BEN-2 form to the Registrar of Companies (ROC) for the disclosure of the Significant Beneficial Owner.</p>	<p>Throughout the review period, the Company did not receive the necessary declaration (BEN-1) from any of the Significant Beneficial Owner (SBO). However, in accordance with Section 90 of the Companies Act, 2013, and the Significant Beneficial Ownership (SBO) Rules, 2019, the Company is actively engaged in the identification process of the Significant Beneficial Owner(s), if any. Subsequently, the Company intends to promptly file the return of SBO using Form BEN-2</p>

**TO WHOMSOEVER IT MAY CONCERN****Subject: Disclosure of Subsidiary(ies) of M/s Jainam Broking Limited**

With reference to the captioned subject; this is to kindly inform you that as of March 31, 2023, M/s Jainam Broking Limited ('the Company') the Company possesses a single subsidiary named as Jainam IFSC Mavens Private Limited (Wholly Owned Subsidiary of the Company), and during the financial year 2022-23, the Company executed the complete divestment of its entire shareholdings in Jainam Fincap Private Limited, thus rendering Jainam Fincap Private Limited no longer affiliated as a subsidiary with the Company, w.e.f. March 30, 2023.

Hence, accordingly the Company has only mentioned one subsidiary in the Annual Return Form MGT -7 for FY 2022-23.

**For Jainam Broking Limited**

*(Formerly Known as Jainam Share Consultants Private Limited)*

*Vidhi Parikh*

**Vidhi Dishant Parikh**  
**Whole Time Director**  
**DIN: 07788145**

